PTO/SB/21(10/96)

Appended for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Papen serk Resection Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/540,470 MAY 0 8 2000 Filing Date March 31, 2000 NSMITTAL FORM First Named Inventor Hiroshi Takano pondence after initial filing) Group Art Unit **Examiner Name** 080398.P257 Attorney Docket Number Total Number of Pages in This Submission 5 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers to Group X Fee Transmittal Form (for an Application) Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) and Accompanying Petition After Final Proprietary Information Extension of Time Request To Convert a Provisional Application Status Letter **Express Abandonment Request** Additional Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): Information Disclosure Statement Terminal Disclaimer Preliminary Amendment; Certified Copy of Priority Document(s) return postcard Small Entity Statement Response to Missing Parts/ Incomplete Application Request for Refund Response to Missing Remarks Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Eric S. Hyman, Reg. No. 30,139 Firm Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN Signature Date May 2, 2000 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 2, 2000 Marutzzella Castro Typed or printed name Signature Date 05/02/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (2/98)
App. Led for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Unter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STENT & TRAD

TOTAL AMO	UNT OF	PAYMENT	(\$)
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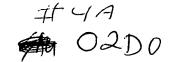
Complete if Known				
Application Number	09/540,470			
Filing Date	03/31/00			
First Named Inventor	Hiroshi Takano, et al.			
Examiner Name				
Group Art Unit				
Attorney Docket Number	080398.P257			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				L FEI	E		
Deposit	Large E	•		•	For Description	-	Daid
Account 02-2666	Fee Code	Fee	ree Code	Fee (\$)	Fee Description	ree	Paid
Number	105	, ,	205		Surcharge - late filing fee or oath		
Deposit	127		227		Surcharge - late provisional filing fee or		
Account Blakely, Sokoloff, Taylor & Zafman LLP	127	30	221	23	cover sheet.		
	139	130	139	130	Non-English specification		
Fee Required Under 37 CFR 1.18 at the Mailing of the	147	2,520	147	2,520	For filing a request for reexamination		
CFR 1.16 and 1.17 Notice of Allowance, 37 CFR 1.311(b)	112	920	112	920	Requesting publication of SIR prior to Examiner action		
2. Payment Enclosed: Check Money Other Order	113	1,840	113	1,840	Requesting publication of SIR after Examiner action		
	115	110	215	55	Extension for response within first month	<u></u>	
FEE CALCULATION (fees effective 10/01/96)	116	380	216	190	Extension for response within second month	$oxedsymbol{oxed}$	
1. FILING FEE	117	870	217	435	Extension for response within third month	<u></u>	
Large Entity Small Entity	118	1,360	218	680	Extension for response within fourth month		
Fee Fee Fee Fee Description Fee Paid	128	1,850	228	925	Extension for response within fifth month		
Code (\$) Code (\$)	119	300	219	150	Notice of Appeal		
101 690 201 345 Utility filing fee	120	300	220	150	Filing a brief in support of an appeal	<u> </u>	
106 310 206 155 Design filing fee	121	260	221	130	Request for oral hearing		
107 480 207 240 Plant filing fee	138	1,360	138		Petition to institute a public use proceeding		
108 690 208 345 Reissue filing fee	140	110	240		Petition to revive - unavoidably	<u> </u>	
114 150 214 75 Provisional filing fee	141	1,210	241	605	Petition to revive - unintentionally		
	142	1,210	242	605	Utility issue fee (or reissue)		
SUBTOTAL (1) (\$)	143	430	243	215	Design issue fee		
O CLAIMS For from	144	580	244	290	Plant issue fee		
2. CLAIMS Fee from Fee Paid	122	130	122	130	Petitions to the Commissioner	<u> </u>	
Total Claims 32 - = X =	123	50	123	50	Petitions related to provisional applications	<u> </u>	
Independent 4 - = X =	126	240	126	240	Submission of Information Disclosure Stmt	<u> </u>	
Multiple Described Claims =	581	40	581	40	Recording each patent assignment per	l	
Multiple Dependent Claims Large Entity Small Entity					property (times number of properties)	⊢	
Fee Fee Fee Fee Description	146	760	246	380	Filing a submission after final rejection		
Code (\$) Code (\$)					(37 CFR 1.129(a))	\vdash	
103 18 203 9 Claims in excess of 20	149	760	249	380	For each additional invention to be		
102 78 202 39 Independent claims in excess of 3		<i>!</i>			examined (37 CFR 1.129(b))	\vdash	
104 270 204 135 Multiple Dependent claim						\vdash	——
109 78 209 39 Reissue independent claims	Other	tee (s	pecify	/)		Ц	
over original patent						*	
110 18 210 9 Reissue claims in excess of 20							
and over original patent							
SUBTOTAL (2) (\$)	* Reduced	by Bas	ic Filina	Fee Paid	SUBTOTAL (3) (\$)		
		,					

SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Eric S. Hyman Reg. No. 30,139		Reg. Number	•
Signature	Date		Deposit Account User ID	02-2666

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Our Ref. No.: 080398.P257

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

H. Takano et al.

Serial No. 09/540,470

Filed: March 31, 2000

For: METHOD FOR CONTROLLING

CONNECTIONS BETWEEN

DEVICES

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents And Trademarks Washington, D.C. 20231

Dear Commissioner:

Please amend the above-identified application as follows:

IN THE SPECIFICATION

On page 1, after the title, please add --This application claims the benefit of U.S. Provisional Application No. 60/128,530 filed April 9, 1999.--

080398.P257 - 1 - ESH/SL